

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Applicant:</b> Houghton, et al.	)	<b>Examiner:</b> Miller, Alan S.
	)	
<b>Serial No.:</b> 10/810,012	)	<b>Art Unit:</b> 4137
	)	
<b>Filing Date:</b> March 26, 2004	)	<b>Atty. Docket No.</b> 040150

**Title:** TRACKING ACCOUNT STRUCTURE TO SUPPORT DIFFERENT LEVERAGE  
LEVELS WITHIN AN INVESTMENT FUND

**AMENDMENT**

K&L Gates LLP  
Pittsburgh, PA 15222  
September 9, 2008

**VIA ELECTRONIC FILING**

Mail Stop Amendment  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed April 1, 2008 ("the Office Action"), please  
amend the above-identified application as follows, wherein:

**Amendments to the Claims** begin on page 2; and

**Remarks** begin on page 13.